

# Shor's Algorithm

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## 2. Quantum Fourier Transform

Discrete Fourier Transform

$$\text{DFT: } \mathbb{C}^N \longrightarrow \mathbb{C}^N$$
$$(x_0, \dots, x_{N-1}) \longmapsto (y_0, \dots, y_{N-1})$$

$$y_k = \frac{1}{\sqrt{N}} \sum_{j=0}^{N-1} x_j e^{2\pi i \frac{jk}{N}}$$

Quantum Fourier Transform

$$\text{QFT: } \mathbb{C}^N \longrightarrow \mathbb{C}^N$$
$$\sum_{i=0}^{N-1} x_i |i\rangle \longmapsto \sum_{i=0}^{N-1} y_i |i\rangle$$

$$|x\rangle \longmapsto \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{2\pi i \frac{xy}{N}} |y\rangle$$

$$U_{\text{QFT}} = \frac{1}{\sqrt{N}} \sum_{x=0}^{N-1} \sum_{y=0}^{N-1} e^{2\pi i \frac{xy}{N}} |y\rangle \langle x|$$

$$\underbrace{|x\rangle}_{z \text{ basis}} \longmapsto \underbrace{|x\rangle}_{\text{Fourier Basis.}}$$

$$|\psi\rangle = \alpha |0\rangle + \beta |1\rangle \quad N=2$$

$$|x\rangle \longrightarrow \frac{1}{\sqrt{2}} \sum_{y=0}^{N-1} e^{2\pi i \frac{xy}{N}} |y\rangle$$

$$U_{\text{QFT}} |\psi\rangle = \frac{\alpha}{\sqrt{2}} \left( \cancel{e^{2\pi i \frac{0 \times 0}{2}}} |0\rangle + \cancel{e^{2\pi i \frac{0 \times 1}{2}}} |1\rangle \right)$$

$$+ \frac{\beta}{\sqrt{2}} \left( \cancel{e^{2\pi i \frac{1 \times 0}{2}}} |0\rangle + \cancel{e^{2\pi i \frac{1 \times 1}{2}}} |1\rangle \right)$$

$$= \frac{1}{\sqrt{2}} \left( (\alpha + \beta) |0\rangle + (\alpha - \beta) |1\rangle \right)$$

$$= H |\psi\rangle$$

$$\begin{aligned} e^{i\pi} &= \cos \pi + i \sin \pi \\ &= -1 \end{aligned}$$

QFT of  $n$  qubits

$$N = 2^n$$

$$y = y_1 y_2 \dots y_n$$

$$y = y_1 2^{n-1} + y_2 2^{n-2} \dots + y_n 2^0$$

$$= \sum_{k=1}^n y_k 2^{n-k}$$

$$|x\rangle = |x_1 \dots x_n\rangle$$

↑  
most significant  
qubit

$$y/2^n = \frac{\sum_{k=1}^n y_k 2^{n-k}}{2^n}$$

$$U_{\text{QFT}} |x\rangle = \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{2\pi i x y / 2^n} |y\rangle = \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} e^{2\pi i x (\sum_{k=1}^n y_k / 2^k)} |y_1 \dots y_n\rangle$$

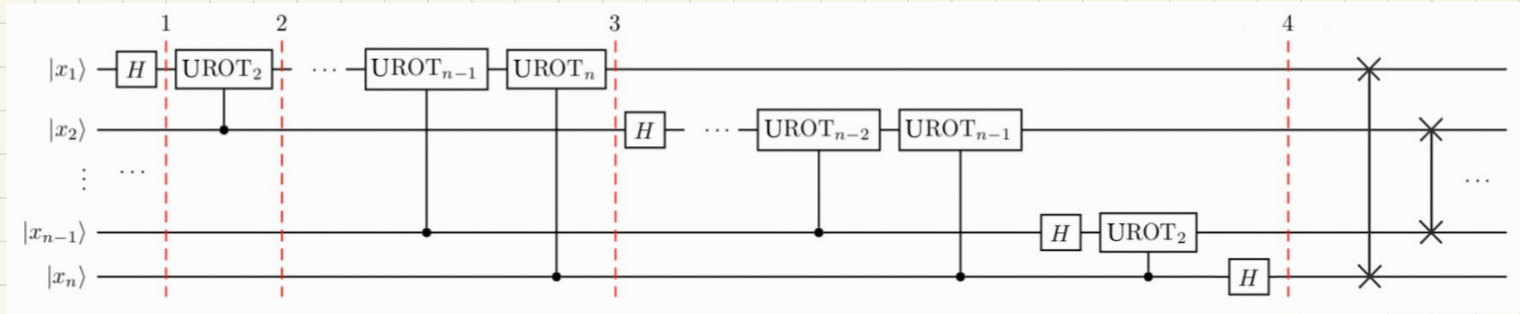
$$= \frac{1}{\sqrt{N}} \sum_{y=0}^{N-1} \prod_{k=1}^n e^{2\pi i x y_k / 2^k} |y_1 \dots y_n\rangle$$

$$= \frac{1}{\sqrt{N}} \bigotimes_{k=1}^n \left[ \sum_{y_k=0}^1 e^{2\pi i x y_k / 2^k} |y_k\rangle \right] = \frac{1}{\sqrt{N}} \bigotimes_{k=1}^n \left( |0\rangle + e^{2\pi i x / 2^k} |1\rangle \right)$$

$$= \frac{1}{\sqrt{N}} \left( |0\rangle + e^{\frac{2\pi i x}{2}} |1\rangle \right) \otimes \left( |0\rangle + e^{\frac{2\pi i x}{2^2}} |1\rangle \right) \otimes \dots$$

$$\otimes \left( |0\rangle + e^{\frac{2\pi i x}{2^{n-1}}} |1\rangle \right) \otimes \left( |0\rangle + e^{\frac{2\pi i x}{2^n}} |1\rangle \right)$$

## 2. Quantum Fourier Transform Circuit



### 3. Quantum Phase Estimation

Given a unitary operator  $U$  with an eigenvector  $|\psi\rangle$

$$U|\psi\rangle = e^{2\pi i\theta} |\psi\rangle$$

estimate  $\theta$ .

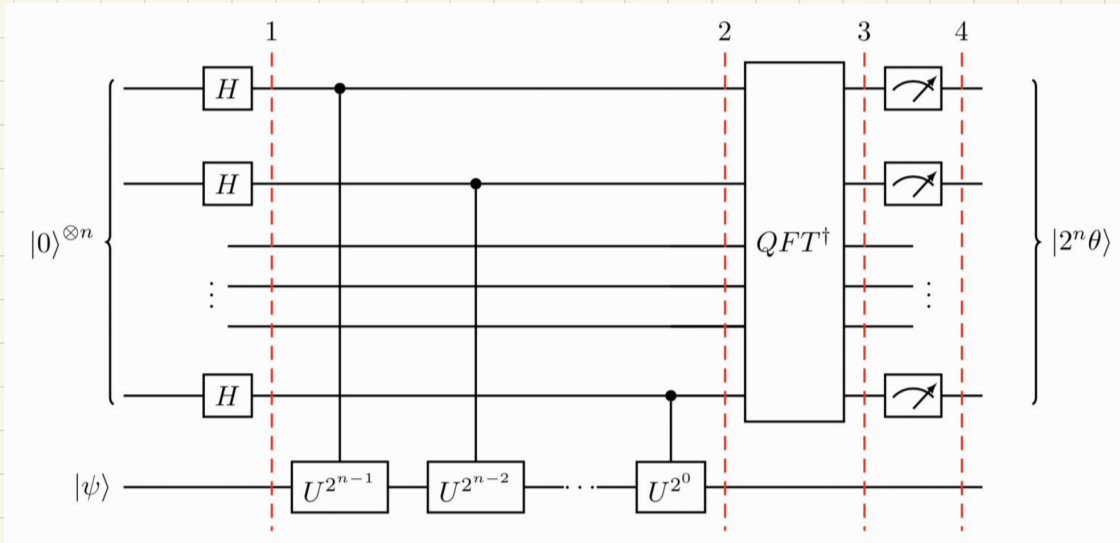
1. Initialize *Eigen Vector*  
 $|\psi_0\rangle = |0\rangle^{\otimes n} |\psi\rangle$

2. Apply Hadamard  
 $|\psi_1\rangle = [H|0\rangle]^{\otimes n} |\psi\rangle$

3. Apply several controlled  $U$   
effect: control qubit will turn (phase kickback) proportionally to the phase  $e^{2\pi i\theta}$   
 The phase is encoded in the input qubits in the Fourier Basis

4. Apply inverse Quantum Fourier Transform ( $QFT^\dagger$ ) to convert to the  $z$  basis

5. Measure  $\Rightarrow |2^n \theta\rangle$



#### 4. Shor's Algorithm

**Problem:** Given  $a$  and  $N$ ,  $a < N$ , find the period of the function

$$f(x) = a^x \bmod N$$

Period: smallest  $r$  such that  $a^r \bmod N = 1$

Example:  $a = 7$   $N = 15$

$$(a \times b) \bmod c = (a \bmod c) \times b \bmod c$$

$$f(1) = 7 \bmod 15 = 7$$

$$f(2) = 49 \bmod 15 = 4$$

$$f(3) = \underline{f(2)} \cdot 7 \bmod 15 = 13 = 7^3 \bmod 15$$

$$f(4) = \underline{f(3)} \cdot 7 \bmod 15 = 91 \bmod 15 = 1$$

$$f(5) = 7$$

$$f(6) = 4$$

$$f(7) = 13$$

The period is  $r = 4$

**Solution:** Use QPE on

$$U|y\rangle = |ay \bmod N\rangle$$

$$U|\psi\rangle = e^{2\pi i \theta} |\psi\rangle \rightarrow \text{find } \theta$$

How is an Eigenstate of  $U$ ?

$$a=7 \text{ and } N=15 \quad U|y\rangle = |7y \bmod 15\rangle$$

$$f(1) = U|1\rangle = |7\rangle \quad U|7\rangle = |4\rangle = U^2|1\rangle =$$

$$f(2) = U^2|1\rangle = |4\rangle$$

$$f(3) = U^3|1\rangle = |13\rangle$$

$$f(4) = U^4|1\rangle = |1\rangle$$

$$U^5|1\rangle = |7\rangle$$

$$|u_0\rangle = \frac{1}{\sqrt{4}} (|7\rangle + |4\rangle + |13\rangle + |1\rangle)$$

$$U|u_0\rangle = \frac{1}{\sqrt{4}} (U|7\rangle + U|4\rangle + U|13\rangle + U|1\rangle)$$

$$= |u_0\rangle \quad \text{Eigenvalue} = 1$$

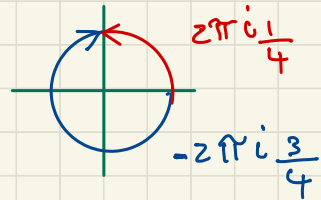


Another eigenstate with eigenvalue  $\neq 1$

$$|u_1\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-\frac{2\pi i k}{r}} |a^k \bmod N\rangle \Rightarrow U|u_1\rangle = e^{\frac{2\pi i}{r}} |u_1\rangle$$

Example

$$\begin{aligned} |u_1\rangle &= \frac{1}{\sqrt{4}} \left( |1\rangle + e^{-\frac{2\pi i}{4}} |7\rangle + e^{-\frac{2\pi i \cdot 2}{4}} |4\rangle + e^{-\frac{2\pi i \cdot 3}{4}} |3\rangle \right) \\ U|u_1\rangle &= \frac{1}{\sqrt{4}} \left( |7\rangle + e^{-\frac{2\pi i}{4}} |4\rangle + e^{-\frac{2\pi i \cdot 2}{4}} |3\rangle + e^{-\frac{2\pi i \cdot 3}{4}} |1\rangle \right) \\ &= e^{-\frac{2\pi i \cdot 3}{4}} |u_1\rangle = e^{\frac{2\pi i}{4}} |u_1\rangle \end{aligned}$$



Generalization

$$|u_s\rangle = \frac{1}{\sqrt{r}} \sum_{k=0}^{r-1} e^{-\frac{2\pi i s k}{r}} |a^k \bmod N\rangle$$

$$U|u_s\rangle = e^{\frac{2\pi i s}{r}} |u_s\rangle \quad 0 \leq s \leq r-1$$

$$\frac{1}{\sqrt{r!}} \sum_{s=0}^{r-1} |u_s\rangle = |1\rangle$$

$$\frac{1}{2} ( |u_0\rangle = \frac{1}{2} (|1\rangle + |7\rangle + |4\rangle + |13\rangle) \dots$$

$$+ |u_1\rangle = \frac{1}{2} (|1\rangle + e^{-\frac{2\pi i}{4}} |7\rangle + e^{-\frac{4\pi i}{4}} |4\rangle + e^{-\frac{6\pi i}{4}} |13\rangle) \dots$$

$$+ |u_2\rangle = \frac{1}{2} (|1\rangle + e^{-\frac{4\pi i}{4}} |7\rangle + e^{-\frac{8\pi i}{4}} |4\rangle + e^{-\frac{12\pi i}{4}} |13\rangle) \dots$$

$$+ |u_3\rangle = \frac{1}{2} (|1\rangle + e^{-\frac{6\pi i}{4}} |7\rangle + e^{-\frac{12\pi i}{4}} |4\rangle + e^{-\frac{18\pi i}{4}} |13\rangle) = |1\rangle$$

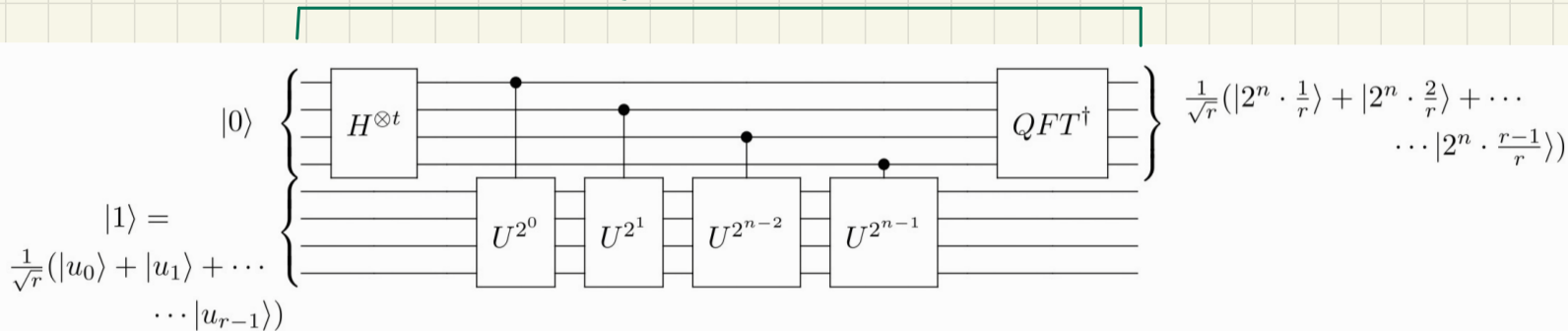
## 5. Shor's circuit

**Idea** Perform QPE on  $U$  using state  $|L\rangle$  as input

Since  $|L\rangle$  is a superposition of eigenstates  $|u_s\rangle$  the result of a measurement will be:

$$\phi = \frac{s}{r} \quad \text{for some } 0 \leq s \leq r-1$$

QPE



## 6. Factoring

### Algorithm: Reduction of factoring to order-finding

**Inputs:** A composite number  $N$

**Outputs:** A non-trivial factor of  $N$ .

**Runtime:**  $O((\log N)^3)$  operations. Succeeds with probability  $O(1)$ .

#### Procedure:

1. If  $N$  is even, return the factor 2.
2. Determine whether  $N = a^b$  for integers  $a \geq 1$  and  $b \geq 2$ , and if so return the factor  $a$  (uses the classical algorithm of Exercise 5.17).
3. Randomly choose  $x$  in the range 1 to  $N - 1$ . If  $\gcd(x, N) > 1$  then return the factor  $\gcd(x, N)$ .
4. Use the order-finding subroutine to find the order  $r$  of  $x$  modulo  $N$ .
5. If  $r$  is even and  $x^{r/2} \not\equiv -1 \pmod{N}$  then compute  $\gcd(x^{r/2} - 1, N)$  and  $\gcd(x^{r/2} + 1, N)$ , and test to see if one of these is a non-trivial factor, returning that factor if so. Otherwise, the algorithm fails.

*Theorem 5.2:* Suppose  $N$  is an  $L$  bit composite number, and  $x$  is a non-trivial solution to the equation  $x^2 = 1 \pmod{N}$  in the range  $1 \leq x \leq N$ , that is, neither  $x = 1 \pmod{N}$  nor  $x = N - 1 = -1 \pmod{N}$ . Then at least one of  $\gcd(x - 1, N)$  and  $\gcd(x + 1, N)$  is a non-trivial factor of  $N$  that can be computed using  $O(L^3)$  operations.

*Theorem 5.3:* Suppose  $N = p_1^{\alpha_1} \dots p_m^{\alpha_m}$  is the prime factorization of an odd composite positive integer. Let  $x$  be an integer chosen uniformly at random, subject to the requirements that  $1 \leq x \leq N - 1$  and  $x$  is co-prime to  $N$ . Let  $r$  be the order of  $x$  modulo  $N$ . Then

$$p(r \text{ is even and } x^{r/2} \not\equiv -1 \pmod{N}) \geq 1 - \frac{1}{2^m}. \quad (5.60)$$

